

# Securities Act S.N.W.T. 2008, c. 10

**Document Type:** Implementing Rule

**Document No:** 31-806

**Subject:** Registration Requirements, Exemptions and Ongoing Registrant

Obligations

**Effective Date:** May 1, 2014

### **IMPLEMENTING RULE 31-806**

Registration Requirements, Exemptions and Ongoing Registrant Obligations

### PART I DEFINITION

**1.** In this Rule, "Implementing Rule 11-801" means Implementing Rule 11-801 *Implementation of CSA Instruments* made under the Act, effective October 26, 2008, as amended.

### PART II ADOPTION OF AMENDMENTS TO NATIONAL INSTRUMENT

**2.** Amendments made by the Canadian Securities Administrators to National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations*, effective May 1, 2014, are adopted and made a rule under section 169 of the Act.

## PART III CONSEQUENTIAL AMENDMENT TO LOCAL RULE

**3.** Schedule A to Implementing Rule 11-801 is amended by striking "January 13, 2014" and substituting "May 1, 2014" in that portion preceding the table.

### PART IV EFFECTIVE DATE

**4.** This instrument comes into force on May 1, 2014.