



*Securities Act*  
*S.N.W.T. 2008, c. 10*

**Document Type:** Implementing Rule

**Document No:** 31-805

**Subject:** Registration Requirements, Exemptions and Ongoing Registrant Obligations

**Effective Date:** July 15, 2013

**IMPLEMENTING RULE 31-805**

*Registration Requirements, Exemptions and Ongoing Registrant Obligations*

**PART I      DEFINITION**

**1.** In this Rule, “Implementing Rule 11-801” means Implementing Rule 11-801 *Implementation of CSA Instruments* made under the Act, effective October 26, 2008, as amended.

**PART II      ADOPTION OF AMENDMENTS TO NATIONAL INSTRUMENT**

**2.** (1) Subject to subsections (2), (3) and (4), amendments made by the Canadian Securities Administrators to National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations*, effective July 15, 2013, are adopted and made a rule under section 169 of the Act.

(2) Section 13 and paragraphs 2(b), 6(k), 17(a) and 17(c) of the amending instrument referred to in subsection (1) come into force on July 15, 2014.

(3) Sections 15, 19 and 20 and paragraphs 2(c) and 4(g) of the amending instrument referred to in subsection (1) come into force on July 15, 2015.

(4) Sections 5, 16 and 21 and paragraphs 2(d), 4(h) and 17(b) of the amending instrument referred to in subsection (1) come into force on July 15, 2016.

**PART III      CONSEQUENTIAL AMENDMENT TO LOCAL RULE**

**3.** Schedule A to Implementing Rule 11-801 is amended by striking “May 31, 2013” and substituting “July 15, 2013” in that portion preceding the table.

**PART IV      EFFECTIVE DATE**

**4.** This instrument comes into force on July 15, 2013.