

Securities Act
S.N.W.T. 2008, c. 10

Document Type: Implementing Rule

Document No: 13-102

Subject: Repeal and Replacement of Multilateral Instrument 13-102 System Fees for SEDAR and NRD

Effective Date: June 9, 2023

IMPLEMENTING RULE 13-102

Repeal and Replacement of Multilateral Instrument 13-102 System Fees for SEDAR and NRD

PART I DEFINITION

1. In this Rule, “Implementing Rule 11-108” means Implementing Rule 11-108 *Implementation of CSA Instruments* made under the Act, effective October 26, 2008, as amended.

PART II ADOPTION OF NATIONAL INSTRUMENTS

2. The following National Instrument made by the Canadian Securities Administrators, published March 23, 2023, are adopted and made Rules under section 169 of the *Securities Act*:

- (a) Repeal and Replacement of *Multilateral Instrument 13-102 System Fees for SEDAR and NRD*.

PART III CONSEQUENTIAL AMENDMENT TO LOCAL RULE

3. Schedule A to Implementing Rule 11-801 is hereby amended:

- (a) by striking “September 21, 2021” and substituting “June 9, 2023” in that portion preceding the table;
- (b) by repealing “*Multilateral Instrument 13-102 System Fees for SEDAR and NRD*” as item 3.1; and
- (c) by inserting “*Multilateral Instrument 13-102 System Fees for SEDAR and NRD*” as item 3.1.

PART IV EFFECTIVE DATE

4. This instrument comes into force on June 9, 2023.

Dated at the City of Yellowknife, Northwest Territories, this 25th day of
April, 2023.

A handwritten signature in black ink, appearing to be 'R.J. Simpson', written over a horizontal line.

R.J. Simpson
Minister of Justice

Securities Act
S.N.W.T. 2008, c. 10

Document Type: Implementing Rule

Document No: 13-103

Subject: National Instrument 13-103 System for Electronic Data Analysis and Retrieval + (SEDAR+)

Effective Date: June 9, 2023

IMPLEMENTING RULE 13-103

National Instrument 13-103 System for Electronic Data Analysis and Retrieval + (SEDAR+)

PART I DEFINITION

1. In this Rule, “Implementing Rule 11-108” means Implementing Rule 11-108 *Implementation of CSA Instruments* made under the Act, effective October 26, 2008, as amended.

PART II ADOPTION OF NATIONAL INSTRUMENTS

2. The following National Instrument made by the Canadian Securities Administrators, published March 23, 2023, are adopted and made Rules under section 169 of the *Securities Act*:

- (a) National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)*.

PART III CONSEQUENTIAL AMENDMENT TO LOCAL RULE

3. Schedule A to Implementing Rule 11-801 is hereby amended:

- (a) by striking out “September 21, 2021” and substituting “June 9, 2023” in that portion preceding the table; and
- (b) by inserting “*National Instrument 13-103 System for Electronic Data Analysis and Retrieval + (SEDAR+)*” as item 3.2.

PART IV EFFECTIVE DATE

4. This instrument comes into force on June 9, 2023.

Dated at the City of Yellowknife, Northwest Territories, this 25th day of
April, 2023.

A handwritten signature in black ink, consisting of a large, stylized 'S' followed by a horizontal line and a small flourish.

R.J. Simpson
Minister of Justice

