

CSA Staff Notice 11-341 *Withdrawal of Staff Notices*

March 7, 2019

This notice formally withdraws a number of CSA staff notices. In general, the withdrawn material will remain available for historical research purposes on the CSA members' websites that permit comprehensive access to CSA notices.

Staff of the members of the CSA have reviewed a number of CSA staff notices. They have determined that some are outdated, no longer relevant or no longer required. The following CSA staff notices are therefore withdrawn, in the applicable CSA jurisdictions in which they have not already been withdrawn, effective immediately.

CSA Staff Notices

- | | |
|--------|--|
| 11-319 | <i>Extension of Consultation Period - Consultation Paper 25-401: Potential Regulation of Proxy Advisory Firms</i> |
| 11-322 | <i>Extension of Consultation Period – Proposed Amendments to Multilateral Instrument 62-104 Take-Over Bids and Issuer Bids and National Instrument 62-103 Early Warning System and Related Take-Over Bid and Insider Reporting Issues; Proposed Changes to National Policy 62-203 Take-Over Bids and Issuer Bids, Proposed National Instrument 62-105 Security Holder Rights Plans and Proposed Companion Policy 62-105CP Security Holder Rights Plans</i> |
| 11-327 | <i>Extension of Consultation Period - Draft Notice 25-201 relating to Guidance for Proxy Advisory Firms</i> |
| 21-304 | <i>Request for Filing of Form 21-101F5 Initial Operation Report for Information Processor by Interested Information Processors</i> |
| 21-306 | <i>Notice of Filing of Forms 21-101F5 Initial Operation Report for Information Processor – Extension of comment period</i> |
| 23-301 | <i>Electronic Audit Trails</i> |
| 23-302 | <i>Electronic Audit Trail Initiative (TREATS)</i> |
| 23-304 | <i>Status of the Transaction Reporting and Electronic Audit Trail System (TREATS)</i> |
| 23-306 | <i>Status of the Transaction Reporting and Electronic Audit Trail System (TREATS)</i> |

- 31-339 *Omnibus/Blanket Orders Exempting IIROC and MFDA Registrants from Certain Provisions of National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations*
- 31-341 *Omnibus/Blanket Orders Exempting Registrants from Certain CRM2 Provisions of National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations*
- 33-305 *Sale of Insurance Products by Dually Employed Salespersons*
- 45-311 *Exemptions from Certain Financial Statement-Related Requirements in the Offering Memorandum Exemption to Facilitate Access to Capital by Small Business*
- 45-320 *Exemptions for Certain Foreign Issuers from the Requirement to Identify Purchasers as Registrants or Insiders in Reports of Exempt Distribution*
- 81-320 *Update on International Financial Reporting Standards for Investment Funds*
- 81-325 *Status Report on Consultation under CSA Notice 81-324 and Request for Comment on Proposed CSA Mutual Fund Risk Classification Methodology for Use in Fund Facts*
- 81-326 *Update on an Alternative Funds Framework for Investment Funds*

Questions

Please refer your questions to any of the following people:

Samir Sabharwal
Alberta Securities Commission
Tel: 403 297-7389
samir.sabharwal@asc.ca

Sylvia Pateras
Autorité des marchés financiers
Tel: 514 395-0337, extension 2536
sylvia.pateras@lautorite.qc.ca

Gordon Smith
British Columbia Securities Commission
Tel: 604 899-6656
GSmith@bcsc.bc.ca

Sonne Udemgba
Financial and Consumer Affairs Authority
of Saskatchewan
Tel: 306 787-5879
sonne.udemgba@gov.sk.ca

Chris Besko
The Manitoba Securities Commission
Tel: 204 945-2561
Chris.Besko@gov.mb.ca

Simon Thompson
Ontario Securities Commission
Tel: 416 593-8261
sthompson@osc.gov.on.ca

Alicia W. F. Love
Financial and Consumer Services
Commission (New Brunswick)
Tel: 506 658-2648
alicia.love@fcnb.ca

H. Jane Anderson
Nova Scotia Securities Commission
Tel: 902 424-0179
Jane.Anderson@novascotia.ca

Steven Dowling
Securities Division, Prince Edward Island
Tel: 902 368-4551
sddowling@gov.pe.ca

Rhonda Horte
Office of the Yukon Superintendent of
Securities
Tel: 867 667-5466
rhonda.horte@gov.yk.ca

Jeremy Walsh
Office of the Superintendent of Securities
Northwest Territories
Tel: 867 767-9260, extension 82205
Jeremy_Walsh@gov.nt.ca

Jeff Mason
Office of Superintendent of Securities,
Nunavut
Tel: 867 767-9260, ext. 82205
jmason@gov.nu.ca

Renee Dyer
Office of the Superintendent of Securities,
Service NL
Tel: 709 729-4909
reneedyer@gov.nl.ca