



Amendments to
National Instrument 33-109
REGISTRATION INFORMATION

- 1 *National Instrument 33-109 Registration Information is amended by this Instrument.*
- 2 *Part 4 is amended in paragraph 4.1(4)(a) by replacing “, or” with “;”.*
- 3 *Part 5 is amended:*
 - (a) *in the first paragraph of subsection 5.1(3), by replacing “,” after “subsection (1)” with “as follows:”; and*
 - (b) *in subsection 5.1(5), by replacing “for an NRD submission” with “in respect of an NRD submission”.*
- 4 *Part 6 is amended:*
 - (a) *in section 6.1, by replacing “before this instrument came into force” with “before September 28, 2009”;*
 - (b) *in subsection 6.2(2), by adding “first” after “a registered firm that was”; and*
 - (c) *in paragraph 6.3(4)(a), by replacing “a notice of change” with “a notice” and deleting “from a registered individual,” after “subsection 4.2(1)”.*

(a) *Part 8 is amended in section 8.2 by adding “the day National Instrument 31-103 Registration Requirements and Exemptions comes into force” after “comes into force on” and deleting “28 September 2009”.*
- 5 *Form 33-109F1 Notice of Termination of Registered Individuals and Permitted Individuals (section 4.2) is amended in General Instructions by replacing the word “activity” with “capacity”.*
- 7 *This instrument comes into force on 12 April 2013.*