



Amendments to Companion Policy 33-105CP Underwriting Conflicts

1. ***Companion Policy 33-105CP Underwriting Conflicts is amended by this Instrument.***
2. ***In the following provisions of the Companion Policy “registrant” is struck out wherever it occurs and “specified firm registrant” is substituted:***
 - a. ***section 2.1,***
 - b. ***section 2.2,***
 - c. ***section 2.4,***
 - d. ***section 4.1,***
 - e. ***section 4.2,***
 - f. ***section 4.3,***
 - g. ***section 5.1, and***
 - h. ***section 6.1.***
3. ***Appendix A-1 is amended by striking out “registrant” wherever it occurs and substituting “specified firm registrant”.***
4. ***Appendix A-2 is amended by striking out “registrant” wherever it occurs and substituting “specified firm registrant”.***
5. ***Appendix A-3 is amended by striking out “registrant” wherever it occurs and substituting “specified firm registrant”.***
6. ***Appendix A-4 is amended by striking out “registrant” wherever it occurs and substituting “specified firm registrant”.***
7. ***This Instrument comes into force on the day National Instrument 31-103 Registration Requirements and Exemptions comes into force.***