



IN THE MATTER OF THE *SECURITIES ACT*  
S.N.W.T. 2008, c. 10, AS AMENDED

***Exemptions from certain filing requirements in connection with the delayed launch of the System for Electronic Data Analysis and Retrieval +***

**BLANKET ORDER 2023/02**  
“cutover blanket order”  
(Section 16)

**Definitions**

1. Terms defined in the *Securities Act* (the Act) and National Instrument 14-101 Definitions have the same meanings in this order.

2. In this Order:

“cutover period” means the period beginning on June 9, 2023 and ending on the cutover end date;

“cutover end date” means the earlier of the date on which SEDAR+ becomes available for filing and June 16, 2023.

**Background**

3. The National Systems Renewal Program is an initiative of the Canadian Securities Administrators (CSA) that will replace existing CSA national systems with a centralized system, the System for Electronic Data Analysis and Retrieval + (SEDAR+). The first phase of SEDAR+ will replace the System for Electronic Document Analysis and Retrieval (SEDAR), the National Cease Trade Order Database, the Disciplined List, and certain filings.

4. In connection with the launch of SEDAR+, the Northwest Territories has adopted National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* (NI 13-103). NI 13-103 requires a person [or company] to transmit through SEDAR+ certain documents required or permitted under securities legislation to be filed with or delivered to a securities regulatory authority or regulator.
5. The Superintendent of Securities expects that, on June 9, 2023, NI 13-103 will come into force and *National Instrument 13-101 System for Electronic Document Analysis and Retrieval (SEDAR)* will be repealed. Accordingly, as of June 9, 2023, SEDAR will no longer be available for filing.
6. In order to accommodate the transfer of required system data, SEDAR+ will not be available during the cutover period.
7. During the cutover period, filers will not be able to comply with the requirement in NI 13-103 to file a document with, or deliver a document to, the securities regulatory authority or regulator by transmitting it through SEDAR+.
8. This order does not relieve a filer from any requirement under securities legislation to issue a news release or deliver a document to securityholders.

**IT IS ORDERED THAT:**

**Exemption from the requirement to file or deliver a document during the cutover period**

9. The Superintendent of Securities, considering that to do so would not be prejudicial to the public interest, orders under section 16 of the *Act* that, in respect of a document that is required to be transmitted through SEDAR+ under NI 13-103, a person [or company] is exempt from the requirement to file the document with, or deliver the document to, [the securities regulatory authority or regulator] under securities legislation during the cutover period, provided that

the person [or company] files or delivers the document through SEDAR+ no later than 2 business days after the cutover end date

**Effective date**

10. This order comes into effect May 11, 2023.

DATED at the City of Yellowknife in the Northwest Territories, this 5<sup>th</sup> day of May, 2023.

A handwritten signature in blue ink, appearing to read "Matthew F. Yap".

---

Matthew F. Yap, CD, LL.M.  
Superintendent of Securities

## APPENDIX

Jurisdictions	General filing methods	Exceptions to general filing methods
British Columbia	<a href="mailto:cutover@bcsc.bc.ca">cutover@bcsc.bc.ca</a>	An application that is not a full or partial revocation application, an MCTO application, a prospectus pre-filing or a waiver application, is to be filed through eServices
Alberta	<a href="mailto:transition@asc.ca">transition@asc.ca</a>	Submit an application to the Commission or the Executive Director to <a href="mailto:legalapplications@asc.ca">legalapplications@asc.ca</a>
Saskatchewan	<a href="mailto:corpfin@gov.sk.ca">corpfin@gov.sk.ca</a>	N/A
Manitoba	<a href="mailto:securities@gov.mb.ca">securities@gov.mb.ca</a>	N/A
Ontario	For investment funds: <a href="mailto:IF_SEDARplus_cutover@osc.gov.on.ca">IF_SEDARplus_cutover@osc.gov.on.ca</a>  and for all other cases: <a href="mailto:CF_SEDARplus_cutover@osc.gov.on.ca">CF_SEDARplus_cutover@osc.gov.on.ca</a>	N/A
Québec	For investment funds: <a href="mailto:Fonds_dinvestissement@lautorite.qc.ca">Fonds_dinvestissement@lautorite.qc.ca</a>  and for all other cases: <a href="mailto:Dispenses.passeport@lautorite.qc.ca">Dispenses.passeport@lautorite.qc.ca</a>	CPC qualifying transaction filings are to be filed by email at the general email addressed or in paper at 800, rue du Square-Victoria, 22e étage, C.P. 246, Place Victoria, Montréal (Québec) H4Z 1G3
New Brunswick	<a href="mailto:transition@fcnbc.ca">transition@fcnbc.ca</a>	Community Economic Development (CEDC) filings (forms under local NB rule 45-509) are to be filed by email at the general email or in paper at 300-85 Charlotte Street, Saint John, NB E2L 2J2

Nova Scotia	<a href="mailto:NSSC_Corp_Finance@novascotia.ca">NSSC_Corp_Finance@novascotia.ca</a>	An application that is not a full or partial revocation application is to be filed at <a href="mailto:NSSCEXEMPTIONS@novascotia.ca">NSSCEXEMPTIONS@novascotia.ca</a>
Prince Edward Island	<a href="mailto:ccis@gov.pe.ca">ccis@gov.pe.ca</a>	N/A
Newfoundland and Labrador	<a href="mailto:SecuritiesExemptions@gov.nl.ca">SecuritiesExemptions@gov.nl.ca</a>	N/A
Yukon	<a href="mailto:securities@yukon.ca">securities@yukon.ca</a>	N/A
Northwest Territories	<a href="mailto:Securitiesregistry@gov.nt.ca">Securitiesregistry@gov.nt.ca</a>	N/A
Nunavut	<a href="mailto:securities@gov.nu.ca">securities@gov.nu.ca</a>	N/A