



Securities Act
S.N.W.T. 2008, c. 10

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Subject: Derivatives: Customer Clearing and Protection of Customer Collateral and Positions

Effective Date: July 3, 2017

IMPLEMENTING RULE 94-802

Derivatives: Customer Clearing and Protection of Customer Collateral and Positions

PART I DEFINITION

1. In this Rule, “Implementing Rule 11-801” means Implementing Rule 11-801 *Implementation of CSA Instruments* made under the Act, effective October 26, 2008, as amended.

PART II ADOPTION OF NATIONAL INSTRUMENT

2. National Instrument 94-102 *Derivatives: Customer Clearing and Protection of Customer Collateral and Positions* of the Canadian Securities Administrators, effective July 3, 2017, is adopted and made a rule under section 169 of the Act.

PART III CONSEQUENTIAL AMENDMENT TO LOCAL RULE

3. Schedule A to Implementing Rule 11-801 is amended

(a) by striking “April 10, 2017” and substituting “July 3, 2017” in that portion preceding the table.

(b) by adding “National Instrument 94-102 *Derivatives: Customer Clearing and Protection of Customer Collateral and Positions*” as item 44.2.

PART IV EFFECTIVE DATE

4. This instrument comes into force on July 3, 2017.