



Securities Act
S.N.W.T. 2008, c. 10

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Subject: Prohibition of Mutual Fund Trailing Commissions

Effective Date: December 31, 2020

IMPLEMENTING RULE 81-810

Prohibition of Mutual Fund Trailing Commissions

PART I DEFINITION

1. In this Rule, "Implementing Rule 11-801" means Implementing Rule 11-801 Implementation of CSA Instruments made under the Act, effective October 26, 2008, as amended.

PART II ADOPTION OF AMENDMENTS TO NATIONAL INSTRUMENTS

2. The following amendments made by the Canadian Securities Administrators are adopted and made rules under section 169 of the Act:

(a) Amendments to National Instrument 81-101 *Mutual Fund Prospectus Disclosure*, effective December 31, 2020;

(b) Amendments to National Instrument 41-101 *General Prospectus Requirements*, effective December 31, 2020; and

PART III CONSEQUENTIAL AMENDMENT TO LOCAL RULES

3. Schedule A to Implementing Rule 11-801 is amended by striking "November 18, 2020" and substituting "December 31, 2020" in that portion preceding the table.

PARTIV EFFECTIVE DATE

1. Subject to paragraph 2(c) above, this instrument comes into force on December 31, 2020