



Securities Act
S.N.W.T. 2008, c. 10

Document Type: Implementing Rule
Document No: 81-805
Subject: Mutual Funds and Non-redeemable Investment Funds
Effective Date: September 22, 2014

IMPLEMENTING RULE 81-805

Mutual Funds and Non-redeemable Investment Funds

PART I DEFINITION

1. In this Rule, “Implementing Rule 11-801” means Implementing Rule 11-801 *Implementation of CSA Instruments* made under the Act, effective October 26, 2008, as amended.

PART II AMENDMENTS TO NATIONAL INSTRUMENTS

2. (1) Subject to subsection (2), the following amendments made by the Canadian Securities Administrators, effective September 22, 2014, are adopted and made rules under section 169 of the Act:

- (a) amendments to National Instrument 81-102 *Mutual Funds*;
- (b) amendments to National Instrument 81-101 *Mutual Fund Prospectus Disclosure*;
- (c) amendments to National Instrument 81-106 *Investment Fund Continuous Disclosure*;
- (d) amendments to National Instrument 41-101 *General Prospectus Requirements*.

(2) Subsection 64(3) of the amendments to National Instrument 81-102 *Mutual Funds* comes into force on January 1, 2015.

PART III CONSEQUENTIAL AMENDMENTS TO NATIONAL INSTRUMENTS

3. The following amendments made by the Canadian Securities Administrators, effective September 22, 2014, are adopted and made rules under section 169 of the Act:

- (a) amendments to National Instrument 24-101 *Institutional Trade Matching and Settlement*;
- (b) amendments to National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations*;
- (c) amendments to National Instrument 33-109 *Registration Information*;
- (d) amendments to National Instrument 44-102 *Shelf Distributions*;
- (e) amendments to National Instrument 45-106 *Prospectus and Registration Exemptions*;
- (f) amendments to National Instrument 62-103 *The Early Warning System and Related Take-Over Bid and Insider Reporting Issues*;
- (g) amendments to National Instrument 81-104 *Commodity Pools*;
- (h) amendments to National Instrument 81-105 *Mutual Fund Sales Practices*;
- (i) amendments to National Instrument 81-107 *Independent Review Committee for Investment Funds*.

PART IV CONSEQUENTIAL AMENDMENTS TO LOCAL RULE

4. Schedule A to Implementing Rule 11-801 is amended

- (a) by striking “May 1, 2014” and substituting “September 22, 2014” in that portion preceding the table; and
- (b) by striking “Mutual Funds” and substituting “Investment Funds” in item 38.

PART V EFFECTIVE DATE

5. This instrument comes into force on September 22, 2014.