



Securities Act
S.N.W.T. 2008, c. 10

Document Type: Implementing Rule

Document No: 41-807

Subject: Mandating a Summary Disclosure Document for Exchange-Traded Mutual Funds and its Delivery

Effective Date: March 8, 2017

IMPLEMENTING RULE 41-807

Mandating a Summary Disclosure Document for Exchange-Traded Mutual Funds and its Delivery

PART I DEFINITION

1. In this Rule, “Implementing Rule 11-801” means Implementing Rule 11-801 *Implementation of CSA Instruments* made under the Act, effective October 26, 2008, as amended.

PART II ADOPTION OF AMENDMENTS TO NATIONAL INSTRUMENT

2. Amendments made by the Canadian Securities Administrators to National Instrument 41-101 *General Prospectus Requirements*, in Annex D to CSA Notice of Amendments dated December 8, 2016, which amendments are effective March 8, 2017, September 1, 2017, and December 10, 2018, as set out in section 15 of the amending instrument, are adopted and made a rule under section 169 of the Act.

PART III CONSEQUENTIAL AMENDMENTS TO NATIONAL INSTRUMENT

3. Amendments made by the Canadian Securities Administrators to National Instrument 81-106 *Investment Fund Continuous Disclosure*, effective March 8, 2017, are adopted and made a rule under section 169 of the Act.

PART IV CONSEQUENTIAL AMENDMENT TO LOCAL RULE

4. Schedule A to Implementing Rule 11-801 is amended by striking “September 30, 2016” and substituting “March 8, 2017” in that portion preceding the table.

PART V EFFECTIVE DATE

5. This instrument comes into force on March 8, 2017.