



**Securities Act
S.N.W.T. 2008, c. 10**

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Subject: Reforms to Enhance the Client-Registrant Relationship (Client Focused Reforms)
Effective Date: December 31, 2019

IMPLEMENTING RULE 31-810

Reforms to Enhance the Client-Registrant Relationship (Client Focused Reforms)

PART I DEFINITION

1. In this Rule, “Implementing Rule 11-801” means Implementing Rule 11-801 *Implementation of CSA Instruments* made under the Act, effective October 26, 2008, as amended.

PART II ADOPTION OF AMENDMENTS TO NATIONAL INSTRUMENTS

2(1). Subject to the transitional periods set out in (2), amendments made by the Canadian Securities Administrators to National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations*, effective December 31, 2019, are adopted and made a rule under section 169 of the Act.

(2). The following transitional periods apply:

- a) Provisions 20 to 28 and 30 to 32 of Annex E of the Amendments to National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations* come into force on December 31, 2020; and
- b) All remaining provisions come into force on December 31, 2021.

PART III CONSEQUENTIAL AMENDMENT TO LOCAL RULE

3. Schedule A to Implementing Rule 11-801 is amended by striking “June 12, 2019” and substituting “December 31, 2019” in that portion preceding the table.

PART IV EFFECTIVE DATE

4. This instrument comes into force on December 31, 2019.