



***Securities Act***  
**S.N.W.T. 2008, c. 10**

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**IMPLEMENTING RULE 31-807**

***Registration Requirements, Exemptions and Ongoing Registrant Obligations***

**PART I DEFINITION**

1. In this Rule, “Implementing Rule 11-801” means Implementing Rule 11-801 *Implementation of CSA Instruments* made under the Act, effective October 26, 2008, as amended.

**PART II ADOPTION OF AMENDMENTS TO NATIONAL INSTRUMENTS**

2. (1) Subject to subsection (2), the following amendments made by the Canadian Securities Administrators, effective January 11, 2015, are adopted and made rules under section 169 of the Act:

- (a) amendments to National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations*;
- (b) amendments to National Instrument 33-109 *Registration Information*;
- (c) amendments to National Instrument 52-107 *Acceptable Accounting Principles and Auditing Standards*.

(2) Section 29 and paragraph 16(c) of the amendments to National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations* come into force on July 11, 2015.

**PART III CONSEQUENTIAL AMENDMENTS TO NATIONAL AND MULTILATERAL INSTRUMENTS**

3. The following amendments made by the Canadian Securities Administrators, effective January 11, 2015, are adopted and made rules under section 169 of the Act:

- (a) amendments to Multilateral Instrument 11-102 *Passport System*;
- (b) amendments to National Instrument 23-102 *Use of Client Brokerage Commissions*;
- (c) amendments to National Instrument 24-101 *Institutional Trade Matching and Settlement*;
- (d) amendments to National Instrument 81-107 *Independent Review Committee for Investment Funds*.

**PART IV      CONSEQUENTIAL AMENDMENT TO LOCAL RULE**

4. Schedule A to Implementing Rule 11-801 is amended by striking “December 31, 2014” and substituting “January 11, 2015” in that portion preceding the table.

**PART V      EFFECTIVE DATE**

5. This instrument comes into force on January 11, 2015.