

Government of Gouvernement des Northwest Territories Territoires du Nord-Ouest

Securities Act S.N.W.T. 2008, c. 10

Document Type: Implementing Rule

Document No: 23-803

Subject: Trading Rules

Effective Date: July 6, 2016

IMPLEMENTING RULE 23-803

Trading Rules

PART I DEFINITION

1. In this Rule, "Implementing Rule 11-801" means Implementing Rule 11-801 *Implementation of CSA Instruments* made under the Act, effective October 26, 2008, as amended.

PART II ADOPTION OF AMENDMENTS TO NATIONAL INSTRUMENT

2. Amendments made by the Canadian Securities Administrators to National Instrument 23-101 *Trading Rules*, in Annex B to CSA Notice of Approval dated April 7, 2016, which amendments are effective July 6, 2016 and October 1, 2016, as set out in section 9 of the amending instrument, are adopted and made a rule under section 169 of the Act.

PART III CONSEQUENTIAL AMENDMENT TO LOCAL RULE

3. Schedule A to Implementing Rule 11-801 is amended by striking "June 30, 2016" and substituting "July 6, 2016" in that portion preceding the table.

PART IV EFFECTIVE DATE

4. This instrument comes into force on July 6, 2016.