



Securities Act
S.N.W.T. 2008, c. 10

Document Type: Implementing Rule
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Subject: Use of Client Brokerage Commissions
Effective Date: June 30, 2010

IMPLEMENTING RULE 23-801

Use of Client Brokerage Commissions

PART I DEFINITION

1. In this Rule, “Implementing Rule 11-801” means Implementing Rule 11-801 *Implementation of CSA Instruments* made under the Act, effective October 26, 2008, as amended.

PART II ADOPTION OF NATIONAL INSTRUMENT

2. National Instrument 23-102 *Use of Client Brokerage Commissions* of the Canadian Securities Administrators is adopted and made a rule under section 169 of the Act.

PART III CONSEQUENTIAL AMENDMENTS TO LOCAL RULE

3. (1) Implementing Rule 11-801 is amended by this section.

(2) Schedule A is amended by

(a) striking “April 30, 2010” and substituting “June 30, 2010” in that portion preceding the table; and

(b) adding item 6.1 “National Instrument 23-102 *Use of Client Brokerage Commissions*” after item 6.

PART IV EFFECTIVE DATE

4. This instrument comes into force on June 30, 2010.