

Securities Act S.N.W.T. 2008, c. 10

Document Type: Implementing Rule

Document No: 21-804

Subject: Marketplace Operation and Trading Rules

Effective Date: October 1, 2015

IMPLEMENTING RULE 21-804

Marketplace Operation and Trading Rules

PART I DEFINITION

1. In this Rule, "Implementing Rule 11-801" means Implementing Rule 11-801 *Implementation of CSA Instruments* made under the Act, effective October 26, 2008, as amended.

PART II ADOPTION OF AMENDMENTS TO NATIONAL INSTRUMENTS

- **2.** The following amendments made by the Canadian Securities Administrators, effective October 1, 2015, are adopted and made rules under section 169 of the Act:
 - (a) amendments to National Instrument 21-101 Marketplace Operation;
 - (b) amendments to National Instrument 23-101 Trading Rules;

PART III CONSEQUENTIAL AMENDMENT TO LOCAL RULE

3. Schedule A to Implementing Rule 11-801 is amended by striking "September 8, 2015" and substituting "October 1, 2015" in that portion preceding the table.

PART IV EFFECTIVE DATE

4. This instrument comes into force on October 1, 2015.