



*Securities Act*  
**S.N.W.T. 2008, c. 10**

**Document Type:** Implementing Rule  
**Document No:** 21-801  
**Subject:** Marketplace Operation and Trading Rules  
**Effective Date:** January 28, 2010

**IMPLEMENTING RULE 21-801**

*Marketplace Operation and Trading Rules*

**PART I DEFINITION**

1. In this Rule, “Implementing Rule 11-801” means Implementing Rule 11-801 *Implementation of CSA Instruments* made under the Act, effective October 26, 2008, as amended.

**PART II ADOPTION OF AMENDMENTS TO NATIONAL INSTRUMENTS**

2. Amendments made by the Canadian Securities Administrators to National Instrument 21-101 *Marketplace Operation*, effective January 28, 2010, are adopted and made a rule under section 169 of the Act.

3. Amendments made by the Canadian Securities Administrators to National Instrument 23-101 *Trading Rules*, effective January 28, 2010, are adopted and made a rule under section 169 of the Act.

**PART III CONSEQUENTIAL AMENDMENT TO LOCAL RULE**

4. (1) Implementing Rule 11-801 is amended by this section.

(2) Schedule A is amended by striking “September 28, 2009” and substituting “January 28, 2010” in that portion preceding the table.

**PART IV      EFFECTIVE DATE**

5. This instrument comes into force on January 28, 2010.